SureVote

Technical Overview David Chaum

Outline of Presentation

- Concept
 (for basic SureVote version)
- User Interface Options (and their implications)
- Process Flow Detail (multi-server case)
- Variations & Extensions

(offline, non-geographic, exit devices, control votes, ballot-style security, single-server, etc.)

Simple SureVote Ballot

Ballot #: **52234**

FEDERAL

PRESIDENT AND VICE PRESIDENT OF THE UNITED STATES

GEORGE W. BUSH
& DICK CHENEY
(REPUBLICAN)

PAT BUCHANAN
& EZOLA FOSTER
(REFORM)

AL GORE
& JOE LIEBERMAN
(DEMOCRATIC)

VOTE 5216

VOTE 2947

CODE 2947

VOTE 2947

SURE CODE: 7095

VOTE 5813

CODE 5813

FEDERAL

UNITED STATES REPRESENTATIVE (CONGRESS) DISTRICT 16

MARK FOLEY (REPUBLICAN)

JEAN ELLIOT BROWN (DEMOCRATIC)

JOHN McGUIRE (REFORM)

SURE CODE: 5772

VOTE 9794
CODE: 5772

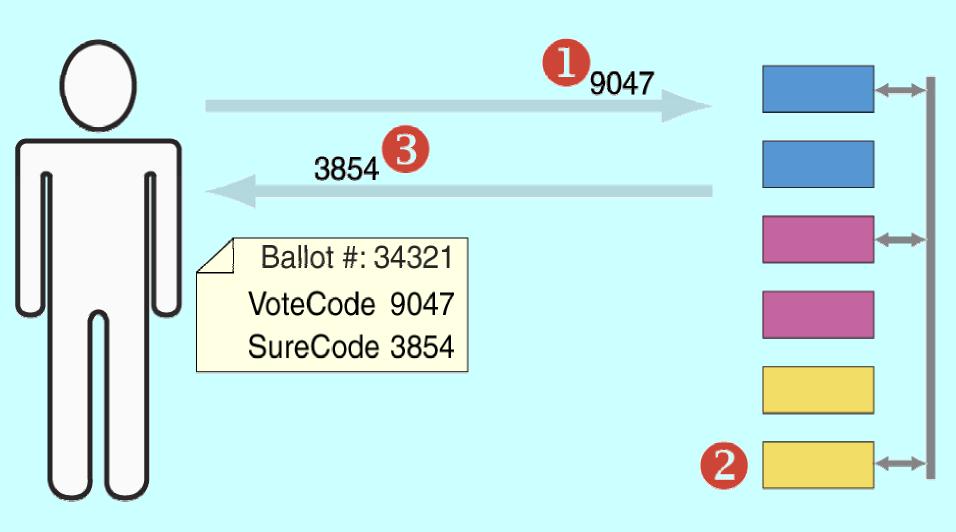
VOTE 9794
CODE: 5772

VOTE 7047
CODE: 6927

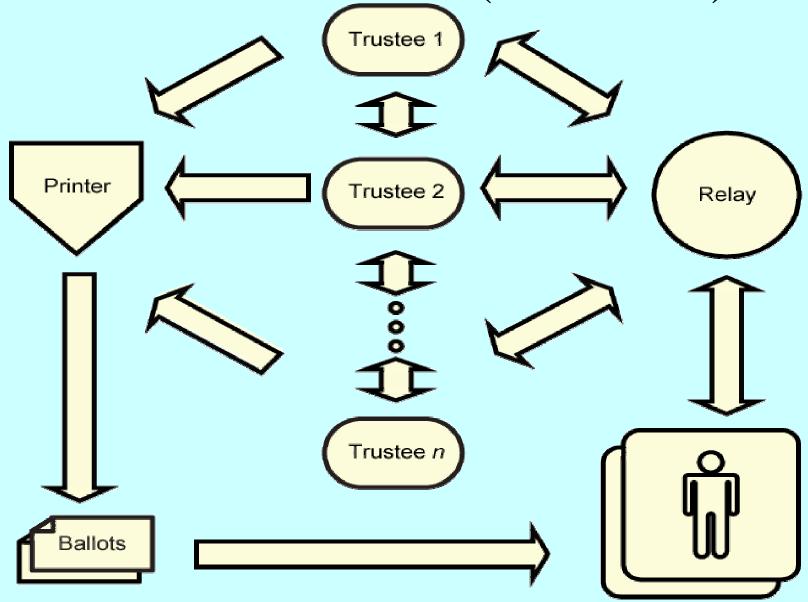
VOTE 6965

SURE CODE: 4104

Voting Process (overview)



Overall Process (overview)



What are the main reasons SureVote is so interesting?

- No machines to trust at precinct!
 - Much higher integrity
 - Much lower cost
- Voter authenticates system and choice!
 - More accuracy
 - Higher voter confidence
- Integrated attendance and remote
- Non-Geographic and permissive voting

User Interface Examples

- Web browser (which contests revealed UI)
- Barcode
- Telephone

(number of contests revealed to UI)

- Human Intermediary
- Touchscreen

(choices must be revealed to UI)

Web

Ballot #:78694

Vote Code: 2304 Sure Code: 1698	SUBMIT
President and Vice President	United States Representative
of the United States	(Congress) District #16
George W. Bush & Dick Cheney	Mark Foley
(Republican)	(Republican)
Pat Buchanan & Ezola Foster (Reform)	Jean Elliot Brown (Democratic)
Al Gore & Joe Liberman	John McGuire
(Democratic)	(Reform)

Barcode

Ballot #: **78694**

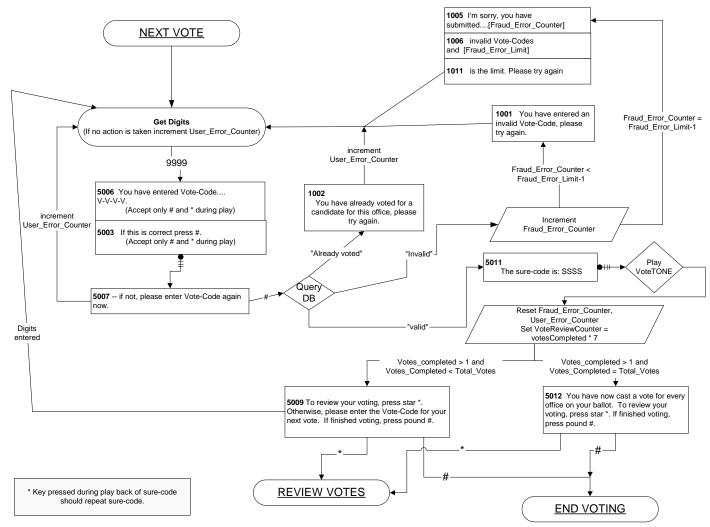
FEDERAL

PRESIDENT AND VICE PRESIDENT OF THE UNITED STATES

GEORGE W. BUSH & DICK CHENEY (REPUBLICAN)	VOTE 2304 SURE CODE: 1698
PAT BUCHANAN & EZOLA FOSTER (REFORM)	VOTE 7406 SURE CODE: 2425
AL GORE & JOE LIEBERMAN (DEMOCRATIC)	VOTE 8991 SURE CODE: 8753



Telephone (Remote or Attendance Voting)



Human Intermediary

- Unlike "Assisted Voting"
 - No integrity/influencing vulnerability
 - No privacy exposure
- Expands access
 - Beyond usual disability definition
 - Also includes illiterates

Touchscreen

President and Vice President of the United States

George W. Bush & Dick Cheney (Republican)

Pat Buchanan & Ezola Foster (Reform)

Al Gore & Joe Lieberman (Democratic)



Process Overview

- 1. Ballot preparation—for all ballots
 - Committing, printing, checking
- 2. Voting—for each ballot [not detailed]
 - Exchanging SureCodes for VoteCodes
- 3. Tabulating—for all voted ballots
 - Two passes through trustees, checking

1. Ballot Preparation

i. Commitments to codes are published

- Pins created by each trustee
- Shift amounts created by each trustee
- Trustees each publish commits to all values

ii. Printing of paper ballots

- Trustees furnish values to printer
- Printer combines (addition modulo max value)
- Printer prints codes "rotated" by shift amount

iii. Checking ballots & commitments

- "Auditors" choose ballots to open at random
- Trustees open commitments for opened ballots
- Auditors check consistency

3. Tabulating

i. Computing and publishing proofs

- Entire batch passes through trustees twice
- First phase: trustees add in two exponents, one for shift (different per item) one for encryption (same for all items) [no mixing]
- Second phase: trustees remove encryption exponent and output batch in permuted order [mixing]

ii. Checking proofs

 Verify that the ballot images output correspond to the originally committed ballots and committed votes

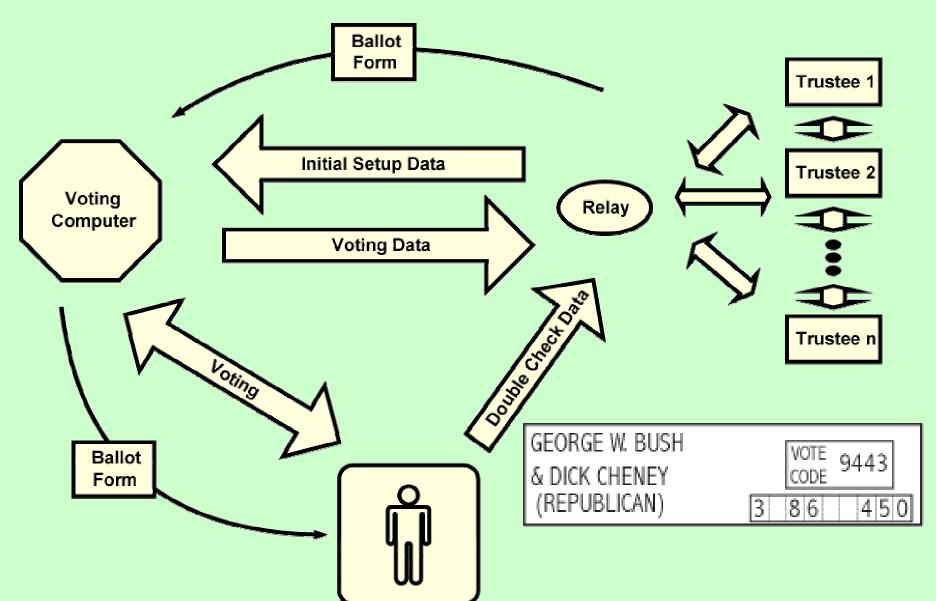
Variations & Extensions

- re-tally
- Online/offline attendance voting
- Non-Geographic
- Write-in and type-in
- Scratch-off printing
 - Indelible ballots
 - Self-shredding ballots
- Control votes & exit devices
- Single & multiple server applications

Re-tally

- Example uses:
 - Permissive registration/contested ballot
 - Court ordered inclusion/exclusion (formerly required spoiling precinct)

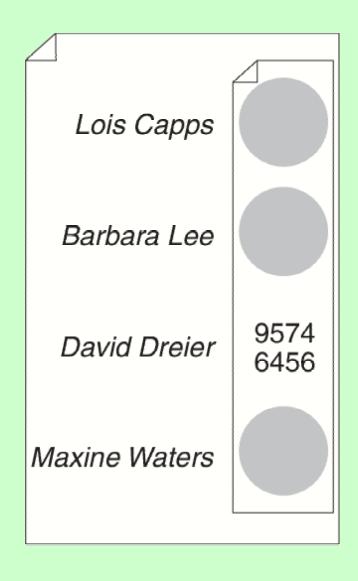
Online/Offline



Non-Geographic

- Example uses of "one big precinct"
 - Early voting (e.g., countywide)
 - Absentee ballot
 - Attendance at non-home precinct

Demand-Printed Ballot

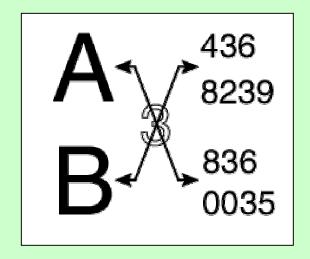


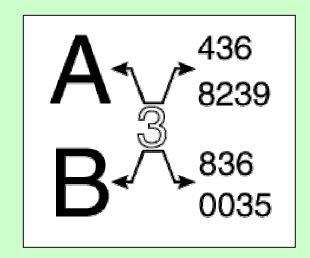
Indelible Ballots

Lois Capps Barbara Lee David Dreier Maxine Waters

Lois Capps Barbara Lee 9574 David Dreier 6456 Maxine Waters

Self-Shredding Ballots





Once arrows are scratched off to reveal numbers, choice is permanently destroyed.

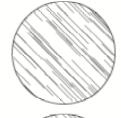
Type-in

7 43 5	6583	9474	3608	4765	3235	6863
876 3	5643	7548	7805	5427	0765	4534
6355	0654	0966	8653	2 595	9643	8768
8765	4673	4367	6658	3 409	9054	6435
9574	564 5	5465	4565	3443	5645	4534
6456	5 465	4565	5465	6544	6544	3454
5445	4876/	5435	1018	7654		4324
9 5 73	7653	3486	0187	0865		3423

Write-In

BALLOT #: 9375343

John Doe



Jose Smith



Write-In

9574 6456 W345

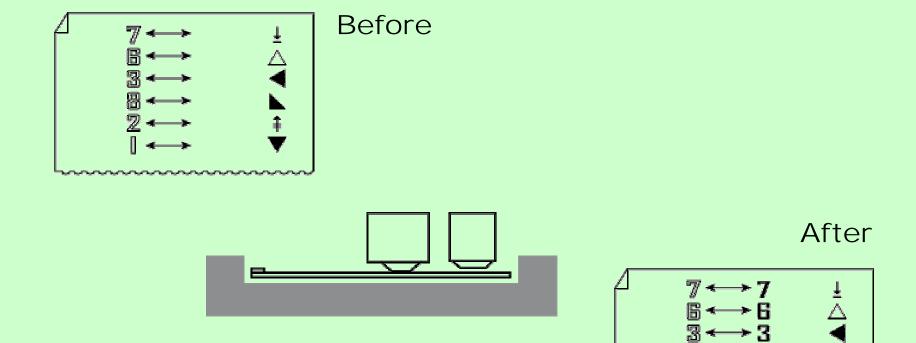
Ballot-Style Security

- You can take your ballot home!
 - Shredding also possible

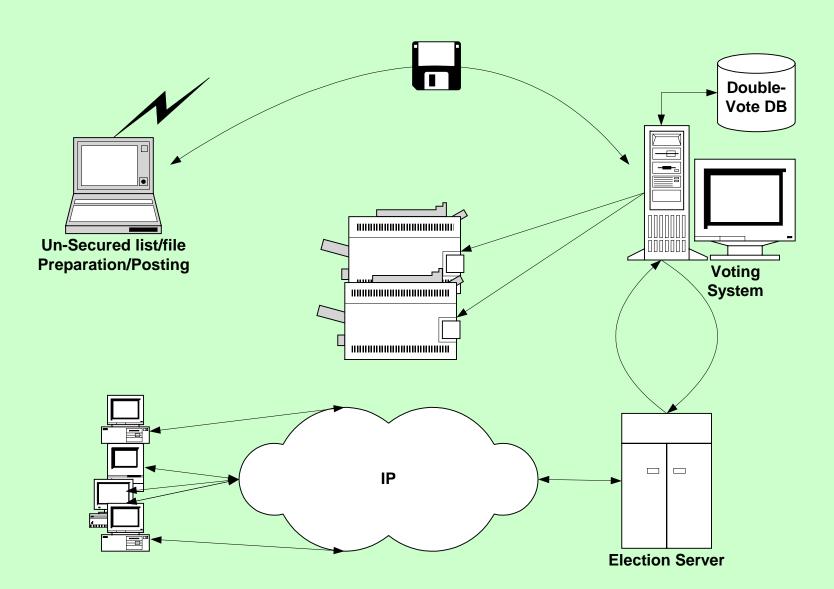
Cast/Spoilt Control Votes

- Control votes can lock a ballot
- Control vote can make a ballot spoilt

Counterfoil Printer Example Exit Device



Single-Server System



Multiple-Server Configuration Options

- Each political party has server
 - e.g., Democrats, Republicans, etc.
- Government hierarchy of servers
 - County has its own server(s)
 - State & Federal can too
- Monitoring countries have servers
 - Critical emerging country elections

Conclusion

- Higher integrity & confidence
- Lower cost
- Robust
- Attendance & Remote in real-time
- Non-Geographic
- Permissive re-tally
- Multi-server